

Office Use Only: Fiscal Year

THE COMMONWEALTH OF MASSACHUSETTS OFFICE OF THE ATTORNEY GENERAL

NON-PROFIT ORGANIZATIONS/PUBLIC CHARITIES DIVISION

ONE ASHBURTON PLACE
BOSTON, MASSACHUSETTS 02108

MARTHA COAKLEY
ATTORNEY GENERAL

(617) 727-2200, ext. 2101

www.mass.gov/ago/charities

Form PC

Report for the Fiscal Period: 01/01/2013 to 12/31/2013

Attorney General's Account #: 044401

Federal ID #: 20-1909556

When did the organization first engage in charitable work in Massachusetts? 11/22/2004

Has the organization applied for or been granted IRS tax exempt status? Yes No

If yes, date of application **OR** date of determination letter: 02/24/2005

IRS Exemption under 501(c): 3

If exempt under 501(c), are contributions to the organization tax deductible as charitable contributions? Yes No

Check all items attached (if applicable)

Schedule A-1

Schedule A-2

Schedule RO

Probate Account

Copy of IRS Return

Audited Financial Statements/Review

Filing Fee

Amended Articles/By-Laws

Organization Data

Name: MASSACHUSETTS SOLDIERS LEGACY FUND

Mailing Address: PO BOX 962061

City: BOSTON State: MA Zip: 02196-2061

Phone Number: 866-856-5533 Fax Number: _____

Email: info@mslfund.org Website: www.mslfund.org

In the table below, please enter the appropriate codes from the corresponding tables found in the instructions.

Enter **up to 2** codes from Table 3 for your organization's main purpose(s)

| Category | Code | Category | Code |
|--------------------------------|------|-----------------------------|------|
| County (Table 1) | 8 | Organization Purpose Code 1 | 60 |
| Type of Organization (Table 2) | 20 | Organization Purpose Code 2 | |

Please check box if final return prior to dissolution:

Office Use Only: Payment Received

All questions must be completed in their entirety whether or not similar questions are answered in an attached federal form. See instructions and definition section for guidance.

1. On what date was the organization created? 11/22/2004
2. Where was the organization created? Massachusetts

3. What is the form of organization? (check one)

| | |
|---|---|
| Corporation <input type="checkbox"/> | Testamentary Trust <input type="checkbox"/> |
| Unincorporated Association <input type="checkbox"/> | Inter Vivos Trust <input type="checkbox"/> |

Other (please describe): TRUST

4. Was your organization related to any other organization(s) during the reporting year (see definition "Related Organization")? If yes, please complete the Schedule RO on pages 13 and 14. Yes No

5. Enter your summary of financial data:

| | Financial Data | Amounts |
|----|--|-----------|
| A. | Contributions, gifts, grants, and similar amounts received | 72,603 |
| B. | Gross support and revenue | 211,715 |
| C. | Program services and similar amounts paid out | 92,179 |
| D. | Fundraising expenses | |
| E. | Management and general expenses | 26,303 |
| F. | Payments to affiliates | |
| G. | Total expenses | 118,482 |
| H. | Net assets or fund balances at the end of the year | 4,606,993 |

6. List the total compensation you provided to your five highest paid employees:

| | Name/Title | Hrs/Week | Salary and Other Income | Benefit Plans | Other Compensation |
|----|------------|----------|-------------------------|---------------|--------------------|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

7. Was any compensation provided to any of the individuals listed in question 6 above which was not qualified in your response to 6? If yes, please provide explanation (attach separate sheet). Yes No

8. List the name, amount of compensation paid, and the nature of services rendered by each of the organization's five highest paid consultants providing professional services (e.g. attorneys, architects, accountants, management companies, investment advisors, professional solicitors, professional fundraising counsel).

| | Name/Title | Amount of Compensation | Type(s) of Service |
|----|----------------------|------------------------|--------------------|
| 1. | RYAN DURKIN | 24,996 | MANAGEMENT |
| 2. | DAVID J. CLEARY, CPA | 3,200 | AUDIT |
| 3. | | | |
| 4. | | | |
| 5. | | | |

9. Bank(s) in which the organization's funds are deposited (include bank addresses and phone number):

| Bank | Address | Phone Number |
|------------------------------|--|--------------|
| BANK OF AMERICA | CHARLOTTE NC 28255 | 800-432-1000 |
| NAT'L FINANCIAL SERVICES LLC | 200 SEAPORT BOULEVARD BOSTON MA 02110 | 800-752-7053 |
| | | |

10. What is the organization's accounting method? Cash Accrual
 Other (specify): _____

11. If organization's mailing address is a P.O. Box, list the organization's full street address:

Address: 7 KITT ROAD
 City: NORTH ATTLEBORO State: MA Zip Code: 02760

12. Contact Person Name: PETER G. TROVATO
 Street Address: 7 KITT ROAD
 City: NORTH ATTLEBORO State: MA Zip Code: 02760
 Phone Number: _____

13. During the fiscal year reported here, did your organization solicit contributions or have funds solicited on its behalf? Yes No

14. At any time during the fiscal year following the year reported here, will your organization, or others acting on its behalf, solicit contributions? Yes No

If you answered yes to Question 13 or 14, you must complete Schedule A-1 and/or Schedule A-2 unless you are exempt from the solicitation certificate requirement.

15. If you are claiming an exemption from the solicitation certificate requirement, please indicate by checking the box to the right to identify which exemption applies to your organization.

| | |
|--|--------------------------|
| a religious organization | <input type="checkbox"/> |
| an organization which: (a) does not raise more than \$5,000 during a calendar year Or does not receive contributions from more than ten persons during a calendar year; AND (b) carries out all of its activities, including fundraising, through unpaid volunteers. [The conditions at both (a) and (b) must be met for your organization to qualify for this exemption.] | <input type="checkbox"/> |

16. Attach a list of names, addresses (street and/or mailing), and telephone numbers of other offices/chapters/branches/affiliates. **None**

17. Attach a list of names, titles, and addresses (street and/or mailing) of officers, directors, trustees, and the principal salaried executives of organization. **See Statement 1**

18. Attach a list of name, titles, and addresses (street and/or mailing) of any individual(s) authorized to sign checks, and any individual(s) responsible for: custody of funds; distribution of funds; fundraising; and custody of financial records.

19. Has this organization or any of its officers, directors, employees or fundraisers solicited funds in any other state? Yes No

If you attach list of states where solicitation was conducted, including registered agency, dates of registration, registration numbers, any other names under which the organization was/is registered, and the dates and type (mail, telephone, door to door, special events, etc.) of the solicitation conducted.

20. Has this organization or any of its officers, directors, or employees:

If yes, please attach an explanation.

(a) Been enjoined or otherwise prohibited by a government agency/court from operating or soliciting contributions? Yes No

(b) Ever been refused registration or had its registration or tax exemption denied, suspended, modified or revoked by a governmental agency? Yes No

(c) Been the subject of a proceeding regarding any solicitation or registration? Yes No

(d) Entered into a voluntary agreement of compliance or consent judgment with, any government agency or in a case before a court or administrative agency? Yes No

21. Have any restrictions been removed during the year from donor-restricted funds?

If yes, please attach an explanation.

Yes No

22. Have donor-restricted funds been loaned to unrestricted funds?

If yes, please attach an explanation.

Yes No

23. This question involves "Termination of Employment or Changes of Control Compensatory Arrangements" with certain "Related Parties" (see instructions and definition sections). Report only if payments made or promised to any individual are in excess of four months salary or \$100,000, whichever dollar amount is less.

(a) Did you make actual payments or otherwise transfer value under such an arrangement to any individual described in Related Party definition, sections (a) or (b), which payments are not reported in Question 6 or 7 above? Yes No

(b) Do you have an agreement with any individual described in Related Party definition, sections (a) or (b), containing such an agreement? Yes No

If you answered **yes** for Question 23(a) or 23(b) above, please attach an explanation identifying the individual(s) involved, stating the amount of any payments made or value transferred, and describing the terms of each agreement.

24. This question applies to related party transactions, which include transactions with officers, directors, trustees, certain employees, relative, and organizations they own or control. Please consult the instructions and definition sections for the definition of a "Related Party" and "Indebtedness" before answering. Note that transactions involving related parties must be reported even when there is no accounting recognition (e.g. in-kind gifts, waiver or interest not otherwise reported).

If the answer to any part of Question 24 is **yes**, attach a schedule stating the name and address of the related party, the nature of the transaction, the value or the amounts involved in the transaction, and the procedure followed in authorizing the transaction.

| During the year: | | | |
|------------------|--|------------------------------|--|
| A. | Has your organization sold or transferred assets to or purchased assets from or exchanged assets with a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| B. | Has your organization leased assets to or leased assets from a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| C. | Has your organization been indebted to a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| D. | Has your organization allowed a related party to be indebted to it? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| E. | Has your organization made or held an investment in a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| F. | Has your organization furnished goods, services, or facilities to a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| G. | Has your organization acquired goods, services, or facilities from a related party who received compensation or other value in return? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| H. | Has your organization paid or became obligated to pay wages, salary, or other compensation to a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| I. | Has your organization transferred income or assets to or for use by a related party? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| J. | Was your organization a party to any transaction in which any of its officers, directors, or trustees has a material financial interest, or did any officer, director or trustee receive anything of value not reported as compensation? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| K. | Has your organization invested in any corporate stock of a company in which any officer, director, or trustee owns more than 10% of the outstanding shares? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| L. | Is any property of the organization held in the name of or commingled with the property of any other person or organization? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| M. | Did your organization make a grant award or contribution to any other organization in which any of this organization's officers, directors or trustees has a relationship? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

Signature Required

Under penalty of perjury, I declare that the information furnished in this report, including all attachments, is true and correct to the best of my knowledge.

Signature: _____ Date: _____

Printed Name: PETER G TROVATO

Title: TRUSTEE

Name of Preparer: David J Cleary CPA, Inc.

Address Two Cabot Place
Stoughton, MA 02072-4611

City _____ State _____ Zip Code _____

Phone Number 781-341-4100

Schedule A-1 Solicitation Activities During Fiscal Year Covered By This Report

List any names which will be used by the organization in connection with the solicitation of funds, other than the official name which appears on page 1.

Types of solicitation activities in which you expect to engage (check all that apply):

| | | | |
|--|-------------------------------------|---------------------------------------|-------------------------------------|
| Mass Mailing | <input checked="" type="checkbox"/> | Via the Internet | <input checked="" type="checkbox"/> |
| Door-to-door | <input type="checkbox"/> | Raffle, beano, bingo or gaming event | <input type="checkbox"/> |
| Entertainment event | <input checked="" type="checkbox"/> | Sale of goods other than by telephone | <input type="checkbox"/> |
| Telemarketing without sale of goods or ads | <input type="checkbox"/> | Individual Mailings | <input checked="" type="checkbox"/> |
| Telemarketing with sale of goods | <input type="checkbox"/> | Corporate solicitations | <input checked="" type="checkbox"/> |
| Telemarketing with sale of ads | <input type="checkbox"/> | Grant Proposals | <input checked="" type="checkbox"/> |

Other (specify): _____

Identify the method or methods you expect to use for the fundraising (check all that apply):

| | | | |
|-----------------------------------|--------------------------|---------------|-------------------------------------|
| Professional solicitor* | <input type="checkbox"/> | Own employees | <input checked="" type="checkbox"/> |
| Professional fundraising counsel* | <input type="checkbox"/> | Volunteers | <input type="checkbox"/> |
| Commercial co-venturer* | <input type="checkbox"/> | | |

* Provide applicable names and addresses:

Professional Solicitor Name: _____

Address _____

City _____ State _____ Zip Code _____

Professional Fundraising Counsel Name: _____

Address _____

City _____ State _____ Zip Code _____

Commercial Co-Venturer Name: _____

Address _____

City _____ State _____ Zip Code _____

Schedule A-1 ctd.
Solicitation Activities During Fiscal Year Covered By This Report

Identify the individuals who will have final responsibility for the charity's custody of contributions:

Name and Title: PETER G. TROVATO TRUSTEE

Address 7 KITT ROAD

City NORTH ATTLEBORO State MA Zip Code 02760

Name and Title: TIMOTHY PINCH TRUSTEE

Address 5 NEW TOWNE DRIVE

City HINGHAM State MA Zip Code 02043

Name and Title: _____

Address _____

City _____ State _____ Zip Code _____

Identify the individuals who will have final responsibility for the charity's distribution of contributions:

Name and Title: BOARD OF TRUSTEES

Address SEE ATTACHED LIST

City _____ State _____ Zip Code _____

Name and Title: _____

Address _____

City _____ State _____ Zip Code _____

Name and Title: _____

Address _____

City _____ State _____ Zip Code _____

Schedule A-2

Solicitation Activities Planned for Fiscal Year Which Follows the Reporting Year

List any names which will be used by the organization in connection with the solicitation of funds, other than the official name which appears on page 1.

Types of solicitation activities in which you expect to engage (check all that apply):

| | | | |
|--|-------------------------------------|---------------------------------------|-------------------------------------|
| Mass Mailing | <input checked="" type="checkbox"/> | Via the Internet | <input checked="" type="checkbox"/> |
| Door-to-door | <input type="checkbox"/> | Raffle, beano, bingo or gaming event | <input type="checkbox"/> |
| Entertainment event | <input checked="" type="checkbox"/> | Sale of goods other than by telephone | <input type="checkbox"/> |
| Telemarketing without sale of goods or ads | <input type="checkbox"/> | Individual Mailings | <input checked="" type="checkbox"/> |
| Telemarketing with sale of goods | <input type="checkbox"/> | Corporate solicitations | <input checked="" type="checkbox"/> |
| Telemarketing with sale of ads | <input type="checkbox"/> | Grant Proposals | <input checked="" type="checkbox"/> |

Other (specify): _____

Identify the method or methods you expect to use for the fundraising (check all that apply):

| | | | |
|-----------------------------------|--------------------------|---------------|-------------------------------------|
| Professional solicitor* | <input type="checkbox"/> | Own employees | <input checked="" type="checkbox"/> |
| Professional fundraising counsel* | <input type="checkbox"/> | Volunteers | <input type="checkbox"/> |
| Commercial co-venturer* | <input type="checkbox"/> | | |

* Provide applicable names and addresses:

Professional Solicitor Name: _____

Address _____

City _____ State _____ Zip Code _____

Professional Fundraising Counsel Name: _____

Address _____

City _____ State _____ Zip Code _____

Commercial Co-Venturer Name: _____

Address _____

City _____ State _____ Zip Code _____

Schedule A-2 ctd.

Solicitation Activities Planned for Fiscal Year Which Follows the Reporting Year

Identify the individuals who will have final responsibility for the charity's custody of contributions:

Name and Title: PETER G. TROVATO TRUSTEE

Address 7 KITT ROAD

City NORTH ATTLEBORO State MA Zip Code 02760

Name and Title: TIMOTHY PINCH TRUSTEE

Address 5 NEW TOWNE DRIVE

City HINGHAM State MA Zip Code 02043

Name and Title:

Address

City State Zip Code

Identify the individuals who will have final responsibility for the charity's distribution of contributions:

Name and Title: BOARD OF TRUSTEES

Address SEE ATTACHED LIST

City State Zip Code

Name and Title:

Address

City State Zip Code

Name and Title:

Address

City State Zip Code

Certification by Organization

Two different signatures required. Signers must be organization president or other authorized officer or trustee.

Under penalty of perjury, we declare that the information furnished in this report, including all attachments, is true and correct to the best of our knowledge.

Signature: _____ Date: _____

Printed Name: PETER G TROVATO

Title: TRUSTEE

Signature: _____ Date: _____

Printed Name: TIMOTHY PINCH

Title: TRUSTEE

Massachusetts Statements**Statement 1 - Form PC, Page 4, Line 17 - Officers, Directors, Trustees, and Principal Salaried Executives**

| <u>Name</u> | <u>Title</u> | <u>Address</u> | <u>City</u> | <u>State</u> | <u>Zip Code</u> |
|------------------|--------------|----------------------------|-----------------|--------------|-----------------|
| ROBERT T HALE JR | TRUSTEE | 8 OLMSTED DR. | HINGHAM | MA | 02043 |
| GLENN MANGURIAN | TRUSTEE | 4 HUCKLEBERRY HILL LANE | HINGHAM | MA | 02043 |
| ERIC KAPITULIK | TRUSTEE | 100 NEWPORT AVE. EXTENSION | QUINCY | MA | 02171 |
| PETER G. TROVATO | TRUSTEE | 7 KITT ROAD | NORTH ATTLEBORO | MA | 02760 |
| THOMAS JENNINGS | TRUSTEE | 25 OXBOW ROAD | WELLESLEY | MA | 02481 |
| TIMOTHY PINCH | TRUSTEE | 5 NEW TOWNE DR. | HINGHAM | MA | 02043 |